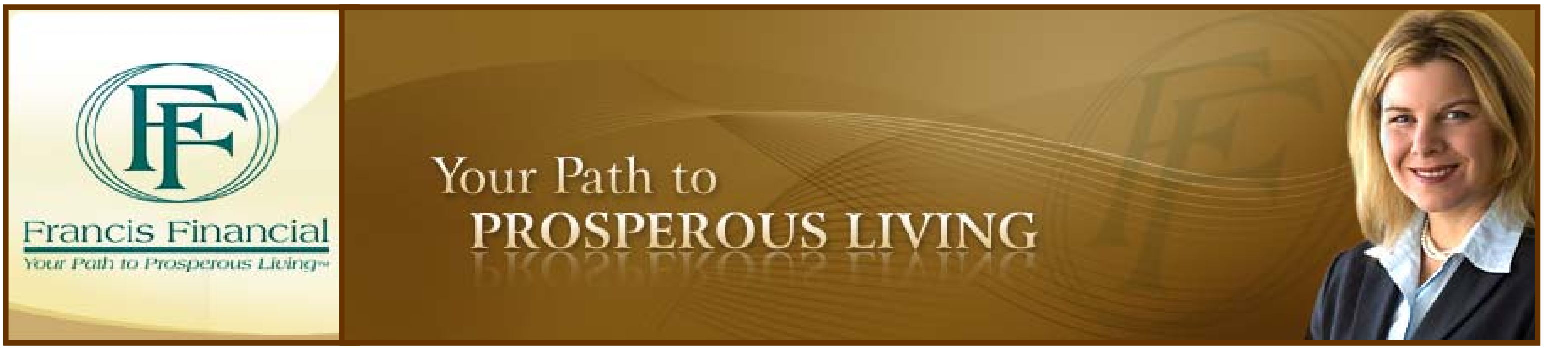

Client:

Please provide the information highlighted in yellow.

General Information:

Document Copies: Please provide a copy of:

- Your most recent pay stub(s)
- Your Federal and State Tax Return for the prior year
- Your estimated Social Security Benefits Statement(s)
- Documentation of other income sources – list if you get any bonuses
- 6 Months of your checking and credit card statements

Notes:

Liabilities

Document Copies: Please provide a statement showing the most recent balance, the original liability amount, the lender, interest rate, and date the note was incurred:

- Mortgages
- Home equity loan/ line of credit
- Personal Notes
- Credit Cards and Lines of Credit
- Student loans
- Business loans with personal liability

Notes:

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Assets

Document Copies: Please provide current statements or records from:

- Any Individual Bond holdings (Please include cost basis)
- Any Cash or Cash Equivalent accounts:
  - Checking Account(s)
  - Savings Account(s)
  - Money Market Accounts(s)
  - Certificates of Deposit(s)
- Any Limited Partnerships (Please include purchase price, number of units, and current value if known)
  - Real Estate
  - Oil and Gas
  - Equipment Leasing
  - Venture Capital
  - Other
- Notes Receivable (Please include Current Balance)
- Real Estate (Please include original purchase price and current market value)
- Non Retirement Stocks and Funds (Please include cost basis)
- Privately Held Stocks (Please include purchase price and estimated market value)
- Retirement Plans (Please include beneficiary information)
  - 401(k) – Investment choices, employer match information if any
  - 403(b)
  - IRA
  - SIMPLE IRA
  - Keogh
  - SEP
  - Profit Sharing Plan
  - ESOP or PAYSOP
  - Stock Purchase Plan
  - Special Pension Plan
  - Pension Plan
- Personal Property (Please include original purchase price and current market value)
  - Home – Apartment sale details including sale price, commissions, closing costs, taxes (if any)
  - Boats
  - Automobiles
  - Home Furnishings
  - Jewelry
  - Other

Insurance

Document Copies: Please provide a copy of the policy declaration page for any current policies:

- ❑ Annuities (Single, Flexible, Variable)
- ❑ Single Premium Life Insurance
- ❑ Life Insurance (Permanent, Term)
- ❑ Medical Insurance
- ❑ Disability Insurance
- ❑ Long Term Care Insurance
- ❑ Homeowner's or Renter's Insurance
- ❑ Automobile Insurance
- ❑ Umbrella Liability Insurance
- ❑ Professional Liability Insurance

Notes:

Other Items

Document Copies: Please provide a copy, where applicable, of:

- ❑ Summary of Benefits from Employer
- ❑ Business Tax Return (most recent)
- ❑ Retirement Plan Tax Return for the prior year
- ❑ Current Will(s)
- ❑ Current Trust Agreement(s)
- ❑ Divorce Decree(s)
- ❑ Prenuptial Agreement(s)
- ❑ Business Interests
- ❑ Buy/Sell Agreements
- ❑ Deferred Compensation
- ❑ Stock Options/Bonus Plans

Notes: